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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

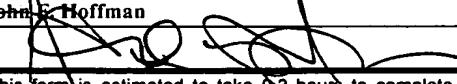
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | C-474/TEC1157-01 |
| First Inventor | Arnold G. Wyatt et al. |
| Title | COMPRESSOR TERMINAL FAULT INTERRUPTION |
| Express Mail Label No. | EL 717629616 US |

| APPLICATION ELEMENTS | | ADDRESS TO: | Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231 |
|--|--|--------------|--|
| See MPEP chapter 600 concerning utility patent application contents. | | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | | |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 14] (preferred arrangement set forth below) | a. <input type="checkbox"/> Computer Readable Form (CRF) | | |
| - Descriptive title of the invention | | | |
| - Cross Reference to Related Applications | | | |
| - Statement Regarding Fed sponsored R & D | | | |
| - Reference to sequence listing, a table, or a computer program listing appendix | | | |
| - Background of the Invention | | | |
| - Brief Summary of the Invention | | | |
| - Brief Description of the Drawings (if filed) | | | |
| - Detailed Description | | | |
| - Claim(s) | | | |
| - Abstract of the Disclosure | | | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] | b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper | | |
| 5. Oath or Declaration [Total Pages 2] | c. <input type="checkbox"/> Statements verifying identity of above copies | | |
| a. <input type="checkbox"/> Newly executed (original or copy) | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) | | |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) | | |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> English Translation Document (if applicable) | | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: | | | |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ / _____ | | | |
| Prior application information: Examiner _____ Group / Art Unit _____ | | | |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | |
| 19. CORRESPONDENCE ADDRESS | | | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | | 000832 | |
| (Insert Customer No. or Attach bar code label here) | | | |
| or <input type="checkbox"/> Correspondence address below | | | |
| Name | John F. Hoffman | | |
| BAKER & DANIELS | | | |
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| Suite 800 | | | |
| City | Fort Wayne | State | IN |
| Country | US | Telephone | 219-424-8000 |
| Zip Code | | 46802 | |
| Fax | | 219-460-1700 | |
| Name (Print/Type) | John F. Hoffman | | |
| Signature |  | | |
| Registration No. (Attorney/Agent) | 26,280 | | |
| Date | 12/11/2001 | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$824.00

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Arnold G. Wyatt et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | C-474/TEC1157-01 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-0385**Deposit Account Name **BAKER & DANIELS** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status. See 37 CFR § 1.27

2. Payment Enclosed:

 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-----------------|---|
| 105 | 130 | 205 | 65 Surcharge - late filing fee or oath |
| 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet |
| 139 | 130 | 139 | 130 Non - English specification |
| 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination |
| 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action |
| 115 | 110 | 215 | 55 Extension for reply within first month |
| 116 | 400 | 216 | 200 Extension for reply within second month |
| 117 | 920 | 217 | 460 Extension for reply within third month |
| 118 | 1,440 | 218 | 720 Extension for reply within fourth month |
| 128 | 1,960 | 228 | 980 Extension for reply within fifth month |
| 119 | 320 | 219 | 160 Notice of Appeal |
| 120 | 320 | 220 | 160 Filing a brief in support of an appeal |
| 121 | 280 | 221 | 140 Request for oral hearing |
| 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding |
| 140 | 110 | 240 | 55 Petition to revive - unavoidable |
| 141 | 1,280 | 241 | 640 Petition to revive - unintentional |
| 142 | 1,280 | 242 | 640 Utility issue fee (or reissue) |
| 143 | 460 | 243 | 230 Design issue fee |
| 144 | 620 | 244 | 310 Plant issue fee |
| 122 | 130 | 122 | 130 Petitions to the Commissioner |
| 123 | 50 | 123 | 50 Processing fee under 37 CFR § 1.17(q) |
| 126 | 180 | 126 | 180 Submission of Information Disclosure Statement |
| 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) |
| 146 | 740 | 246 | 370 Filing a submission after final rejection (37 CFR § 1.129(a)) |
| 149 | 740 | 249 | 370 For each additional invention to be examined (37 CFR § 1.129(b)) |
| 179 | 740 | 279 | 370 Request for Continued Examination (RCE) |
| 169 | 900 | 169 | 900 Request for expedited examination of a design application |

Other fee (specify) _____

**or number previously paid, if greater; For Reissues, see above

SUBTOTAL (3) _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-----------------|--------------------------------------|--------|-----------|---------------|
| Name (Print/Type) | John F. Hoffman | Registration No. (Attorney/Agent) | 26,280 | Telephone | 219-424-8000 |
| Signature | | | | Date | Dec. 11, 2001 |

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Arnold G. Wyatt et al.

Docket No.

C-474/TEC1157-01

Serial No.

Filing Date

Examiner

Group Art Unit

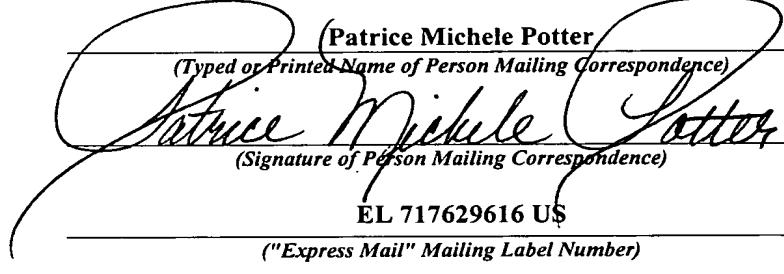
Invention: **COMPRESSOR TERMINAL FAULT INTERRUPTION METHOD AND APPARATUS**

I hereby certify that the following correspondence:

New U.S. Utility Patent Application*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

December 11, 2001*(Date)***Patrice Michele Potter***(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL 717629616 U\$*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**